(ON LETTER HEAD OF THE APPLICANT – TO BE DULY SIGNED AND STAMPED)  
 Date:

To,  
BSE Ltd.   
(mention address of the office where the original FDR is being submitted)

Ref. : IA Application ID (new applicant) / SEBI Registration No. : \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Dear Sir/ Madam,   
  
Sub. : Submission of original Fixed Deposit Receipt (FDR)

I/We, \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ (name of the applicant /SEBI registered IA) hereby submit the following to IAASB as per the amended SEBI Investment Adviser Regulations, 2013:

1. Original Fixed deposit Receipt (FDR), lien marked in favour of BSE Ltd.

*(duly discharged by affixing revenue stamp of Rs.1 /- on the reverse of the FDR signed and stamped by the authorized signatory)*

1. Bank letter

Maximum number of clients of the IA \_\_\_\_\_\_(Provide no. of clients)\_\_\_\_\_on any day of the previous financial year \_\_\_\_\_\_\_\_\_ (Mention the Financial year) \_\_\_

*(Not applicable to new applicant)*

The details of FDR are as below:

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| FDR No. | Name on the FDR | Tenure of FDR | Start date of FDR | Maturity date of FDR | Bank Name | Branch Name |
|  |  |  |  |  |  |  |

Yours faithfully  
For \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Authorised Signatory  
Encl. : as above